

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview

1. **Date of Submission:** 2011-02-28
2. **Agency:** 393
3. **Bureau:** 00
4. **Name of this Investment:** Electronic Editing and Publishing System (eDocs)
5. **Unique Project (Investment) Identifier (UPI):** 393-00-01-04-01-0007-00
6. **What kind of investment will this be in FY 2012?:** Operations and Maintenance
 - Planning
 - Full Acquisition
 - Operations and Maintenance
 - Mixed Life Cycle
 - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB?** FY2002

8.
 - a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

The Electronic Editing and Publishing System (eDOCS) is a client-server based electronic system available to all Office of the Federal Register staff involved in the processing of material for publication in the daily Federal Register. The system allows: a. Federal agencies to submit digitally-signed legal rulemaking and other documents electronically for publication in the Federal Register; b. Federal Register editorial staff to process documents and perform their duties in an electronic mode; c. continuity of operations of the Federal Register publication process from alternate and multiple locations; and d. the electronic transmission of data to the Government Printing Office for publication. The eDOCS system allows the Office of the Federal Register to maintain a database and an electronic version of the rules and regulations of Federal Executive Branch agencies and the orders, proclamations, and directives of the President that are published in the Federal Register. The eDOCS system allows for streamlining the production process for publishing Federal Register publications, provides immediate access to the rules, proposed rules, notices, and legal documents signed by the President and published in the Federal Register via GPOs online distribution services, and provides the source data for Regulations.gov and Data.gov which provides the public with the ability to search, review and electronically comment on open rules and/or proposed rules, and to collaborate in the development of repurposed products. The eDOCS initiative aims to foster interoperability between agencies and in the development of a Public Key Infrastructure for transactions with Federal agencies. eDOCS also provides the public online access to documents on public inspection the day prior to their publication.

- b. **Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.**

Title	Link
Federal Register Background	http://www.archives.gov/federal-register/
NARA Records Schedule - Chapter 15	http://www.archives.gov/about/records-schedule/chapter-15.html

9.

- a. **Provide the date of the Agency's Executive/Investment Committee approval of this investment.**
2010-09-07
- b. **Provide the date of the most recent or planned approved project charter.** 2010-06-30

10. **Contact information?**

- a. **Program/Project Manager Name:** *
Phone Number: *
Email: *
- b. **Business Function Owner Name (i.e. Executive Agent or Investment Owner):** Michael White
Phone Number: *
Email: *

11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA): Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

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(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”: 8

3. Insert the number of years covered in the column “BY+4 and beyond”: *

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Completed	Short description of acquisition
Awarded	8800	NAMA-10-F-0055	GS-35F-0342N	NAMA-10-Q-0007	*	*	\$2.3	Firm Fixed Price	Y	2010-07-16	2015-07-15	Y	The contractor shall provide hands on operations and maintenance of the Electronic Editing and Publishing Document System (eDOCS) on a Firm Fixed Price basis and as required support in developing enhancements to eDOCS on a Time and Materials basis. The vendors' final quote dated June 23rd, 2010, is hereby incorporated by reference

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with the same force and effect as if set forth in full text. Fund base year of new contract to be awarded for Electronic Editing and Publishing Document System (eDOCS),

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3.

- a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow *
- b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 *
- c. Was the Acquisition Plan approved in accordance with agency requirements *
- d. If "yes," enter the date of approval? *
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? *
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? *

g.If an Acquisition Plan has not been developed, provide a brief explanation.

*

Part II: IT Capital Investments

Section A: General

1.
 - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
 - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.
2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. We are collecting statistical data relating to the usage and performance of our application servers, which we will use to evaluate cloud computing alternatives.
3. Provide the date of the most recent or planned Quality Assurance Plan 2010-06-29
4.
 - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment. 393-00-01-04-01-0040-00
 - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s). 393-00-02-00-01-0008-00,393-00-01-04-01-0040-00
5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment. 1999-04-14
6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2010-05-07

Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Process Analysis and System Design	DME	*	\$0.6	\$0.6	2000-09-26	2000-09-26	2001-09-26	2001-09-26	100.00%	100.00%
Contract Award	DME	*	\$0.0	\$0.0	2001-10-01	2001-10-01	2001-12-31	2001-12-31	100.00%	100.00%
System Development	DME	*	\$1.5	\$1.5	2001-01-21	2001-01-21	2003-09-01	2003-09-01	100.00%	100.00%
Testing and Initial Pilot	DME	*	\$0.6	\$0.6	2003-01-23	2003-01-23	2003-09-30	2003-09-30	100.00%	100.00%
Pilot System Implemented	DME	*	\$0.1	\$0.1	2003-09-01	2003-09-01	2003-09-30	2003-09-30	100.00%	100.00%
Beta System Implemented	DME	*	\$0.2	\$0.2	2003-10-01	2003-10-01	2003-12-31	2003-12-31	100.00%	100.00%
Production System Implemented	DME	*	\$0.6	\$0.6	2004-01-01	2005-03-01	2005-03-01	2005-08-01	100.00%	100.00%
FY 2004 Operations and Maintenance	SS	*	\$0.3	\$0.3	2004-04-01	2004-04-01	2005-03-31	2005-03-31	100.00%	100.00%
FY 2004 System Enhancements	DME	*	\$0.5	\$0.5	2004-04-01	2005-08-01	2005-08-01	2008-12-28	100.00%	100.00%
FY 2005 Operations and Maintenance	SS	*	\$0.4	\$0.4	2005-03-22	2005-03-22	2006-03-21	2006-03-21	100.00%	100.00%
FY 2005 System Enhancements	DME	*	\$0.3	\$0.3	2005-03-22	2005-03-22	2006-03-21	2006-03-21	100.00%	100.00%
FY 2006 Operations and Maintenance	SS	*	\$0.4	\$0.4	2006-03-22	2006-03-22	2007-03-21	2007-03-21	100.00%	100.00%
FY 2006 System Enhancements	DME	*	\$0.2	\$0.2	2006-03-22	2006-03-22	2007-03-21	2007-03-21	100.00%	100.00%
FY 2007	SS	*	\$0.4	\$0.4	2007-03-22	2007-03-22	2008-03-21	2008-03-21	100.00%	100.00%

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Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Operations and Maintenance										
FY 2007 System Enhancements	DME	*	\$0.2	\$0.2	2007-03-22	2007-03-22	2008-03-21	2008-03-21	100.00%	100.00%
FY 2008 Operations and Maintenance	SS	*	\$0.4	\$0.4	2008-03-22	2008-03-22	2009-03-21	2009-03-21	100.00%	100.00%
FY 2008 System Enhancements	DME	*	\$0.3	\$0.2	2008-03-22	2008-03-22	2009-03-21	2009-03-21	100.00%	100.00%
FY 2009 Operations and Maintenance	SS	*	\$0.4	\$0.4	2009-03-22	2009-03-22	2010-03-21	2010-03-21	100.00%	100.00%
FY 2010 Operations and Maintenance	SS	*	\$0.4	\$0.4	2010-03-22	2010-03-22	2011-07-15		93.00%	93.00%
FY 2011 Operations and Maintenance	SS	*	\$0.4		2011-07-16		2012-07-15		0.00%	0.00%
FY 2012 Operations and Maintenance	SS	*	*	*	2012-07-16	*	2013-07-15	*	*	*
FY 2013 Operations and Maintenance	SS	*	*	*	2013-07-16	*	2014-07-15	*	*	*

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis. 2010-06-30

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation? yes

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Mission and Business Results	Public Comment Tracking	Decrease in the amount of time needed to report Federal Register statistics	quarterly	Time	Decrease	17%	2006-12-31
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	Decrease the amount of time needed to report monthly, quarterly, and yearly statistics by 10%.	20%	Met	2010-09-17
			2008	Decrease the amount of time needed to report monthly, quarterly, and yearly statistics by 10%.	Time decreased by 30%	Met	2010-09-17
Customer Results	Availability	Increase the availability of Federal Register documents to the public	monthly	Single Retrieval	Increase	193 million documents retrieved online	2006-12-31
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	Increase number of documents retrieved online by 4%.	117 million. Based on partial data (one or more month missing)	Met	2010-09-17
			2008	Increase number of documents retrieved online by 4%.	79 million Based on partial data (three or more month missing)	Met	2010-09-17
			2009	Increase number of documents retrieved online by 4%.	204 million	Met	2010-09-17
			2010	Increase number of documents retrieved online by 4%	278 million	Met	2011-02-25

Processes and Activities	Security	Number of agencies submitting digitally signed electronic documents increases	2011	Increase number of documents retrieved online.	Not Due		2011-03-04
			2012	Increase number of documents retrieved online.	Not Due		2011-03-04
			2013	Increase number of documents retrieved online.	Not Due		2011-03-04
			monthly	Single Agency	Increase	17 agencies	2006-12-31
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2007	Increase the number of agencies submitting digitally signed electronic documents to all agencies.	29 agencies	Met	2010-09-17
			2008	Increase the number of agencies submitting digitally signed electronic documents to all agencies.	42 agencies	Met	2010-09-17
			2009	Increase the number of agencies capable of submitting digitally signed electronic documents to all agencies.	47 agencies	Met	2010-09-17
			2010	Increase the number of agencies capable of submitting digitally signed electronic documents to all agencies.	54 Agencies	Met	2011-02-25
			2011	Maintain the capability of all agencies to submit digitally signed electronic documents to the OFR.	Not Due		2011-03-04
			2012	Maintain the capability of	Not Due		2011-03-04

				all agencies to submit digitally signed electronic documents to the OFR.			
			2013	Maintain the capability of all agencies to submit digitally signed electronic documents to the OFR.	Not Due		2011-03-04
Mission and Business Results	Official Information Dissemination	The percentage of time that Public Inspection documents are available online at the time of official filing	monthly	Time	Steady	100%	2009-12-31
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	100%	100%	Met	2010-09-17
			2010	100%	100%	Met	2011-02-25
			2011	100%	Not Due		2011-03-04
			2012	100%	Not Due		2011-03-04
			2013	100%	Not Due		2011-03-04

* - Indicates data is redacted.